Today’s ever increasing traffic volumes have resulted in the need to widen many existing roads, motorways and railways. Meeting these needs while retaining route operation is easy enough for surface networks where lateral space can be relatively easily come by. However for road and rail sections in tunnel, obvious complications must be overcome to undertake construction without closing the tunnel route.

For a tunnel widening technique to work whilst keeping the structure operable, it must solve at least two problems satisfactorily: firstly, user safety must be ensured and inconvenience minimised whilst performing the works; and secondly, the technique must be adaptable to any ground and stress-strain conditions, minimising the effects on the ground surrounding the tunnel.

Clearly a specific construction approach is required that allows ground improvements prior to excavation, as well as final lining placement very close to the face being widened. This is vital to control any effect on the probable band of already plasticised ground around the existing tunnel, which must not be subject to further disturbance. Also, it must be capable of widening the tunnel cross section without triggering deformation in the ground which could place huge thrusts on the lining of the final widened tunnel and excessive differential settlements at the surface. Finally the approach must ensure, at the design stage, that construction schedules can be observed independently of the type of ground and stress-strain conditions to minimise probable lane changes for traffic and inconvenience for users.

Where the idea originated

A new concept is currently being used to widen Italy’s Nazzano road tunnel. The idea originally took shape during construction of the 21.5m wide x 16m high, ‘Baldo degli Ubaldi’ underground station in Rome. The large dimensions and severe constraints imposed on surface subsidence required the use of an innovative construction method (Figure 1).

Construction began by reinforcing the ground ahead of the station tunnel’s two 5m wide x 9m high side drifts with glass fibre rods, followed by the drift’s excavation from two access shafts. The drifts, which would later house the sidewalls of the station tunnel, were then lined with fibre-reinforced shotcrete and steel ribs. Next, the 21.5m wide x 8.5m high, 125m² cross section of the station tunnel crown was excavated, after first having been reinforced by more glass fibre rods. The crown area was protected by a strong shell, created using the pre-cutting method, and then immediately lined with an ‘active arch’ of prefabricated concrete segments. Finally the remaining 90m² station tunnel cross section was excavated downwards with the invert immediately re-cast in steps after the construction.
of the crown. The area was then ready for construction of the station infrastructure.

A unique machine was specifically designed and constructed jointly with STAC SpA of Mozzate (Como) to combine the required technologies into the single system needed to carry out construction. It consisted of a large metal arch, with the same geometry as the profile of the crown of the station tunnel, which rested on the inside of the sidewall tunnels with stabilisers positioned on its side to enable it to travel backwards and forwards. The arch not only contained the precutting equipment but also housed the required machinery to place the final lining of prefabricated concrete segments.

Once the machine was in operation, the author noticed that the bench of the station tunnel, with a cross section of similar size to that of a standard motorway or rail tunnel, was not used for any construction operations. Such construction could therefore be performed in the same way on the extrados of an existing tunnel, to widen it, without having to close it to traffic, as long as appropriate measures were taken to protect tunnel users.

How the widening works
The technique was adapted for tunnel widening and consists of three major steps. During the first two steps a ‘steel traffic protection shell’ is placed inside the profile of the old tunnel and all the machinery works above this. This shell is four times longer than the diameter of the tunnel to be widened. The hollow space between the shell and the existing tunnel lining is filled with soundproofing and impact resistant material.

The first stage involves initial ground improvement operations ahead of the face and may consist of reinforcement in the widening face and/or preconfinement of the cavity, such as: horizontal jet-grouting, mechanical precutting or improvement using valved and injected glass fibre rods around the future widening face. They may be placed in advance or radially, working from inside the existing tunnel but always above the steel traffic protection shell.

After the ground improvement has been performed, work starts on driving the widening face in steps (how large depends on the ground characteristics) between the design cross section of the future tunnel and that of the existing tunnel. This is done by excavating the ground and demolishing the lining of the existing tunnel in steps of 600mm to 1.5m depending on the stress-strain conditions of the material being tunnelled and the size of the prefabricated segments designed for the final lining. If the stress-strain conditions allow, tunnel advance may proceed in steps as long as several lining segment lengths.

The machinery used for excavation is equipped with everything needed for ground improvement operations (drilling rig, mechanical precutter blade, etc.) including one or more cutters and, if necessary powerful shears for cutting steel ribs and steel reinforcement in the lining of the old tunnel to be demolished. A demolishing hammer may be used instead of the cutter.

The second and third stage
The second construction stage involves placing the prefabricated concrete segmental final lining of the widened tunnel. The concrete segments are transported to the face via conveyor belts and forklift trucks positioned on one side of the widened tunnel. Slow setting epoxy resins are then applied to the two sides of the segment about to be placed, and on the front end that will eventually be in contact with the arch of the lining that has already been placed.

An erector then firstly places the lower
The design of the machine prototype and its equipment required particular effort as a series of operating functions had to be optimised to work in a very limited space between the finished tunnel and the protective shell, i.e. practicing at the face, excavation, placing of the segments, various mortar operations, demolition of the existing tunnel. These problems were solved using innovative technology and the result was a versatile, highly computerised and compact design, capable of performing all the functions required while reducing movements and operating times to a minimum.

Basically, the system consisted of a robust double arch steel structure connected at the bottom by telescopic beams that gave rapid and precise longitudinal movement forwards and backwards. Centering in the cross section and correct positioning of the height are achieved by hydraulic control systems. A laser device on the outside gives perfect alignment of the machine with the tunnel to be widened.

A sophisticated carriage is fitted on the arch at the face that carries the precutting blade and the cutter for excavation and demolition or alternatively a demolition hammer. A gear reduction motor and a rack and pinion obtain the circular movement of the carriage around the arch and the single and complex movements of the different equipment allow the different operations specified in the design to be performed.

A dual system is also appropriately positioned on the same arch to manage the tubing used for filling the cut made with the precutting blade with mortar and the space between the segments and the walls of the excavation. A special device using the same system is employed for the shotcrete ground improvement at the face.

A telescopic crane is also positioned on the front arch for moving the different components used for construction and maintenance and also for people in the event of an emergency at the face.

The rear arch was designed for placing the concrete segments. A carriage runs on it equipped with an erector capable of lifting and placing the segments. The movement of the erector is totally powered by electricity and hydraulics, and is controlled from a panel equipped with an operator display that gives information on movements and possible errors.

Before the key segment of the arch is placed and becomes self-supporting, the segments rest on special telescopic structures anchored to the arch. They are equipped with sensors that allow the different manoeuvres to be made in safety. The structure is equipped with various service gangways to allow personnel to work with a clear view of operations.

The various functions of the equipment are controlled by a PLC (Programmable Logic Controller), which recognises commands it receives and sends information to the display for correct and safe control of the equipment.

Above: The machine utilizes precutting technology using the saw in the photo foreground.

Right: Fig 2 - Longitudinal and cross section of the construction process underway at the Nazzano road tunnel in Italy.

Below: Widening starts at the Nazzano Tunnel portal.
In practice at the Nazzano tunnel
The new technology is currently being applied for
the first time, by contractor Cossi Construzioni, to
widen the 337m long Nazzano tunnel, on the A1
Rome-Naples motorway, from 12.2m wide x 7m high
to 19.7m wide x 11.9m high. The US$31M project
started at the beginning of this year and is expected
to take approximately 12 months to complete.

From a geological viewpoint, the tunnel runs at a
45m depth through sandy and silty-clayey ground
of the Plio-Pleistocene series. Given the type of
ground, the design specifies the creation of a shell of
fibre-reinforced shotcrete around the 19.7m span of
the future tunnel using mechanical precutting
followed by ground improvement (Figure 2). This has
to be completed before actual widening begins. The
ground is then excavated and the old tunnel
demolished in steps under the protection of the
improved ground until the design profile of the
widened tunnel is reached. This is followed 4m-5m
behind the face by the immediate placing an arch of
prefabricated concrete segments using the ‘active
arch’ principle and construction of the tunnel invert.

The steel traffic protection shell in this case has
been designed to a length of 60m, and extends for a
length of approximately 40m ahead of the widening
face. It consists of a modular steel structure and is
equipped with runner guides, anchors, motors,
sound proof and impact resistant panels to absorb
the impact of falling blocks of material during
excavation and demolition of the existing tunnel.
When tunnel widening advances to the point where
the distance between the face and the front end of
the shell reaches what is considered the minimum
safety limit for vehicle traffic, the shell is moved
forward and the various stages repeated in cycles
until the whole tunnel has been widened.

Conclusions
The technique solves the specific problem of
widening a road, motorway or rail tunnel while
allowing traffic to continue to flow during
construction work. Its main features include
the adoption of a segmental final lining to stabilise
the widened tunnel placed in short steps according
to the ‘active arch principle’, which comes into
operation at a very short distance from the widening
face (4m-5m max).

As a consequence passive stabilisation
operations such as shotcrete and steel ribs are
avoided. The final lining can be put under pressure
using jacks in the key segment designed to recentre
unsymmetrical loads should there be bending
moments sufficient to make partial the resisting
section of the arch of prefabricated segments.

Ground improvement can be carried out ahead of
the face, if required, to contain or even completely
eliminate deformation of the face and of the cavity
and therefore avoid the uncontrolled loosening of
the rock mass and thereby ensure operational
safety. The intense mechanisation of the various
construction stages assist advance rates, estimated
at 1m-1.5m of finished tunnel per day, and shorter
construction times. This is advantageous to site
economics, as well as the versatility of the machine,
which can operate in varied ground and stress-strain
conditions.

Last but not least is the ability to perform all
construction operations above a steel shell, under
which traffic can continue to flow in safety.

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